AGENDA

UNIVERSITY OF MISSOURI
BOARD OF CURATORS
HEALTH AFFAIRS COMMITTEE MEETING

Tuesday, August 21, 2018
Originating in 227E University Hall, Columbia, Missouri
and at remote locations via conference telephone

Public Session Dial-In Number: 888-278-0296
Access Code: 4170078#

AGENDA

HEALTH AFFAIRS COMMITTEE MEETING – Public Session

9:00 A.M. Call to Order – Chair Sundvold

Action
1. Resolution, Executive Session of the Board of Curators Health Affairs Committee Meeting

9:05 A.M. HEALTH AFFAIRS COMMITTEE MEETING – EXECUTIVE SESSION (time is approximate)

The Board of Curators will hold an executive session of the Health Affairs Committee meeting on August 21, 2018, pursuant to Section 610.021(1), 610.021(2), 610.021(3) 610.021(12) and 610.021(13), RSMo, for consideration of certain confidential or privileged communications with university counsel, property, personnel and contract items, as authorized by law and upon approval by resolution of the Board of Curators Health Affairs Committee.

9:30 A.M. RECONVENE HEALTH AFFAIRS COMMITTEE MEETING – PUBLIC SESSION (time is approximate)

Action
2. Resolution, Corporate Integrity Agreement for Health Affairs Committee Members
3. Adjourn, Health Affairs Committee Meeting

AGENDA
No. 1

Recommended Action – Resolution for Executive Session of the Board of Curators Health Affairs Committee Meeting, August 21, 2018

It was moved by _________ and seconded by __________, that there shall be an executive session with a closed record and closed vote of the Board of Curators Health Affairs Committee meeting, August 21, 2018, for consideration of:

- **Section 610.021(1), RSMo**, relating to matters identified in that provision, which include legal actions, causes of action or litigation, and confidential or privileged communications with counsel; and

- **Section 610.021 (2), RSMo**, relating to matters identified in that provision, which include leasing, purchase, or sale of real estate; and

- **Section 610.021(3), RSMo**, relating to matters identified in that provision, which include hiring, firing, disciplining, or promoting of particular employees; and

- **Section 610.021(12), RSMo**, relating to matters identified in that provision, which include sealed bids and related documents and sealed proposals and related documents or documents related to a negotiated contract; and

- **Section 610.021(13), RSMo**, relating to matters identified in that provision, which include individually identifiable personnel records, performance ratings, or records pertaining to employees or applicants for employment.

Roll call vote of the Committee: YES NO

Mr. Ashworth
Curator Graham
Ms. Maledy
Curator Phillips
Curator Snowden
Curator Sundvold

The motion ________________.

August 21, 2018

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August 21, 2018

Request to Certify Compliance with MU Health Compliance Obligations

MU Health is proposing that the Health Affairs Committee of the Board of Curators adopt a resolution confirming that MU Health has implemented an effective compliance program under the Corporate Integrity Agreement (CIA).

Background

Effective as of June 30, 2016, the University entered a five-year CIA with the Office of Inspector General for the United States Department of Health and Human Services (OIG-HHS). The CIA was entered to resolve administrative claims of the OIG-HHS related to the federal investigation of radiology claims at MU Health.

The CIA requires Board review and oversight of matters related to compliance with Federal health care program requirements and the obligations in the CIA. The Board must receive periodic reports on compliance matters, describe the materials it has reviewed as part of MU Health’s annual report to the OIG, and adopt an annual resolution confirming that MU Health has an effective compliance program.

Under the CIA, the obligations of the Board can be performed by the full Board or a designated committee of the Board. At its September 15, 2016, meeting, the Board designated the Health Affairs Committee to provide oversight as required by the CIA. The Health Affairs Committee previously adopt a resolution for the first annual reporting period.

Reasons for the Recommendation

The second annual reporting period for the CIA ended June 30, 2018. Information was presented to the Health Affairs Committee for review during the June 21, 2018 meeting. As part of its second year obligations, the Health Affairs Committee must adopt a resolution regarding its review and oversight of the MU Health compliance program. The resolution must be signed by each member of the Health Affairs Committee. The resolution and a summary of materials reviewed by the Health Affairs Committee must be included in the annual report to the OIG which is due August 28, 2018.

The proposed resolution would confirm that the Health Affairs Committee has (1) inquired into the operations of MU Health’s Compliance Program including the performance of the Chief Compliance Officer and the Executive Compliance Committee1 and (2) concluded, to the best of its knowledge, that MU Health has an effective compliance program that meets Federal health care program requirements and the obligations of the CIA. The resolution would further provide for the Secretary of the Board of Curators to prepare a form of the resolution that could be signed by each member of the Health Affairs Committee.

1 The current roster of members of the Executive Compliance Committee is attached hereto.
## Executive Compliance Committee Roster

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First Name</th>
<th>Title</th>
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<tbody>
<tr>
<td>Arnold</td>
<td>Victor</td>
<td>Associate Dean for Finance and Practice Plan Management</td>
</tr>
<tr>
<td>Blair</td>
<td>Michael</td>
<td>Chief Financial Officer</td>
</tr>
<tr>
<td>Choi</td>
<td>Mun</td>
<td>President</td>
</tr>
<tr>
<td>Choma</td>
<td>Theodore</td>
<td>Chair, University Physicians</td>
</tr>
<tr>
<td>Curtright</td>
<td>Jonathan</td>
<td>Chief Executive Officer</td>
</tr>
<tr>
<td>Delafontaine</td>
<td>Patrice</td>
<td>Dean, School of Medicine</td>
</tr>
<tr>
<td>Haberberger</td>
<td>Patricia</td>
<td>Vice Chancellor, Human Resources Services</td>
</tr>
<tr>
<td>Hagglund</td>
<td>Kristofer</td>
<td>Dean, School of Health Professions</td>
</tr>
<tr>
<td>Hahn-Cover</td>
<td>Kristin</td>
<td>Chief Quality Officer</td>
</tr>
<tr>
<td>Kennett</td>
<td>Michele</td>
<td>Associate Vice Chancellor, Research</td>
</tr>
<tr>
<td>Knollmeyer</td>
<td>Edward</td>
<td>Assistant Vice President for Management Services</td>
</tr>
<tr>
<td>Koehler</td>
<td>Mike</td>
<td>Chief Human Resources Officer</td>
</tr>
<tr>
<td>May</td>
<td>Jennifer</td>
<td>Chief Compliance Officer</td>
</tr>
<tr>
<td>McDaniel</td>
<td>Roxanne</td>
<td>Dean, Sinclair School of Nursing</td>
</tr>
<tr>
<td>Nichols</td>
<td>Kirt</td>
<td>Chief of Staff</td>
</tr>
<tr>
<td>Piranio</td>
<td>Michelle</td>
<td>Chief Audit Executive</td>
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<tr>
<td>Rapp</td>
<td>Ryan</td>
<td>Vice President, Finance</td>
</tr>
<tr>
<td>Whitt</td>
<td>Stevan</td>
<td>Chief Clinical Officer</td>
</tr>
<tr>
<td>Zitsch III</td>
<td>Robert</td>
<td>Chair, Professional Liability and Compliance Committee</td>
</tr>
</tbody>
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### Ex Officio

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First Name</th>
<th>Title</th>
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</thead>
<tbody>
<tr>
<td>Adams-Salter</td>
<td>Tina</td>
<td>System Privacy Officer</td>
</tr>
<tr>
<td>Hess</td>
<td>Robert</td>
<td>Counsel</td>
</tr>
<tr>
<td>Settles</td>
<td>Julia</td>
<td>Risk Professional</td>
</tr>
<tr>
<td>Smith</td>
<td>Carey</td>
<td>Manager, Regulatory Affairs</td>
</tr>
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August 21, 2018

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current as of 08/14/2018
Recommended Action - Resolution, Corporate Integrity Agreement for Health Affairs Committee Members

It was moved by _________________ and seconded by ________________, that the following action be approved:

The Health Affairs Committee of the Board of Curators of the University of Missouri has made a reasonable inquiry into the operations of the Compliance Program of MU Health (sometimes referred to as University of Missouri Health System or UMHS) including the performance of the Chief Compliance Officer and the Compliance Committee. Based on its inquiry and review, the Health Affairs Committee has concluded that, to the best of its knowledge, UMHS has implemented an effective Compliance Program to meet Federal health care program requirements and the obligations of the Corporate Integrity Agreement. The Secretary of the Board of the Curators is authorized to prepare a separate form of this resolution to be signed by each member of the Health Affairs Committee.

Roll call vote of Health Affairs Committee

YES  NO

Mr. Ashworth
Curator Graham
Ms. Maledy
Curator Phillips
Curator Snowden
Curator Sundvold

The motion ________________.

August 21, 2018
Resolution of the Health Affairs Committee of the Board of Curators

On August 21, 2018, the Health Affairs Committee of the Board of Curators of the University of Missouri adopted the following resolution:

The Health Affairs Committee of the Board of Curators of the University of Missouri has made a reasonable inquiry into the operations of the Compliance Program of MU Health (sometimes referred to as University of Missouri Health System or UMHS) including the performance of the Chief Compliance Officer and the Compliance Committee. Based on its inquiry and review, the Health Affairs Committee has concluded that, to the best of its knowledge, UMHS has implemented an effective Compliance Program to meet Federal health care program requirements and the obligations of the Corporate Integrity Agreement.

I, Cindy S. Harmon, Secretary of the Board of Curators of the University of Missouri, hereby certify that the foregoing is a true and complete copy of an action taken by the Board of Curators at a meeting held on August 21, 2018, the same as will be submitted to the Board of Curators for approval and will subsequently appear in the permanent records of the University of Missouri.

Witness my hand and the official seal of The Curators of the University of Missouri this twenty-first day of August 2018.

_______________________________
Cindy S. Harmon
Secretary of the Board of Curators
University of Missouri

Acknowledged and confirmed by the members of the Health Affairs Committee:

______________________________
Curator Sundvold, Chair

______________________________
Mr. Ashworth

______________________________
Curator Graham

______________________________
Ms. Maledy

______________________________
Curator Phillips

______________________________
Curator Snowden
Recommended Action - Adjourn the Health Affairs Committee Meeting – Executive Session

It was moved by _________ and seconded by __________, that the meeting of the Board of Curators Health Affairs Committee, August 21, 2018, be adjourned.

Roll call vote of the Committee: YES NO

Mr. Ashworth
Curator Graham
Ms. Maledy
Curator Phillips
Curator Snowden
Curator Sundvold

The motion ___________________.

Time: ________________________ Date: ________________________